Electron correlation from path resummations: the double-excitation star

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(Dated: November 12, 2007)

Resummation over a selected subset of paths allows the approximate evaluation of a $N$-electron path-integral. In particular, we show that the double excitation star graph, consisting of all doubly excited determinants attached to the reference Hartree–Fock determinant, has an energy which is easily calculated in $O(N^4)$ time after integral precomputation, and produces binding curves of a similar quality to CCSD theory for a range of systems including the $N_2$ molecule, the hydrogen-bonded water dimer, and dispersion dominated $Ne_2$ and $Ar_2$ dimers.

I. INTRODUCTION

The calculation of the electronic correlation energy of a molecule is fundamental for an accurate description of inter- and intra-molecular interactions. The usual wavefunction-based approaches are based on many-body perturbation theory or Coupled-Cluster theory. However, the path-integral formulation of quantum mechanics does provide an alternative route to the correlation problem, the exploration of which may yield new approximations and insights. In a previous paper, we formulated a method of calculating correlated electronic energies as a discrete path integral in Slater determinant space. This was expressed as a sum over ‘graphs’ of determinants, which themselves sum together exponentially many paths which traverse exclusively the determinants in the graph. In this paper, we concentrate on the case of the star graph, whose form allows its weight to be calculated relatively simply.

II. THEORY

Given a system with Hamiltonian $\hat{H}$, and a set of orthonormal one-particle spin-orbitals (e.g. Hartree–Fock (HF) orbitals), in the space of Slater Determinants, $D_1, D_2, \ldots$ constructed out of these orbitals, we may write the quantities: (using the notation of Ref. 1),

\begin{equation}
\hat{\epsilon}_i = \langle D_i | e^{-\beta \hat{H}} | D_i \rangle
\end{equation}

\begin{equation}
\hat{\epsilon}_i = \langle D_i | \hat{H} e^{-\beta \hat{H}} | D_i \rangle \frac{\partial \ln w_i}{\partial \beta}
\end{equation}

at inverse temperature $\beta = (kT)^{-1}$. In the limit of $\beta \to \infty$, $\hat{\epsilon}_i \to E_0$, the ground state energy of the system if $D_1$ has an overlap with the ground state. This can usually be ensured by choosing $D_1$ to be the HF determinant, as we have done in this paper. The quantity $w_i$ can be expanded as a discrete path-integral using the identity $e^{-\beta \hat{H}} = \langle e^{-\beta \hat{H}} | P \rangle$ for any integer $P$:

\begin{equation}
w_i = \sum_j \sum_k \cdots \sum_l \rho_{ij} \rho_{jk} \cdots \rho_{ii}
\end{equation}

where $\rho_{ij} = \langle D_i | e^{-\beta \hat{H}} | D_j \rangle$ is an element of the high-temperature density-matrix. Eq.(2.3) has a simple interpretation: it is the sum over all paths of length $P$ which start and terminate at $D_i$, the summand being the Boltzmann weight of that path: e.g. for a closed path $i \to j \to k \to \cdots \to l \to i$, this weight is $\rho_{ij} \rho_{jk} \cdots \rho_{li}$ The total path length, $P$, is chosen such that $\beta/P$ is small and so $\rho$ elements may be calculated by applying the Trotter formula and a simple Taylor expansion.

Of course the exact evaluation of Eq.(2.3) is a formidable task in all but the simplest cases. Here we propose an approximation to $w_i$ by summing over a subset of paths contained in Eq.(2.3), which consist of distinct cycles attached to $i$. The set of all such paths are constructable on a simple graph called a “star-graph”. An example of a star-graph, with three cycles attached to $i$ (the root of the graph) is given in Fig. 1. In this graph, there are two 2-cycles (labelled $C_1$ and $C_3$), consisting of the path $i \to j \to i$ and $i \to m \to i$, respectively, and a 3-cycle $C_2$, consisting of the path $i \to k \to l \to i$. For each such cycle, we define a cycle-function as follows:

\begin{equation}
A_{C_1}(z) = \frac{\rho_{ij} \rho_{ji}}{(z \rho_{ii} - \rho_{ii}) (z \rho_{ii} - \rho_{jj})}
\end{equation}

\begin{equation}
A_{C_2}(z) = \frac{\rho_{ik} \rho_{ki} \rho_{li} \rho_{il}}{(z \rho_{ii} - \rho_{ii}) (z \rho_{ii} - \rho_{kk}) (z \rho_{ii} - \rho_{ll})}
\end{equation}

having a numerator containing the product of transition matrix elements defining the cycle, and denominator which is a factorized polynomial of the complex-variable $z$ and the diagonal matrix elements of $\rho$.

In Refs. 1 and 2, we showed that the weight for a star-graph consisting of an arbitrary number $N_C$ of attached cycles, can be expressed as a contour-integral:

\begin{equation}
w_i = \frac{\rho_{ii}^P}{2\pi i} \int_C dz \frac{z^P - 1}{z - 1} \frac{1}{1 - \sum_{j} N_j \ A_{C_j}(z)}
\end{equation}

FIG. 1: A star-graph rooted at $i$. Three cycles, $C_1, C_2$, and $C_3$ are attached together at $i$. 
FIG. 2: The graphical solution to Eq. (2.7) by means of the intersection of \( z - 1 \) (long dashes) with the remainder of Eq. (2.7). The vertical short dotted lines are the poles of the denominator, which bound the roots of the polynomial.

This may be evaluated by determining the roots of its denominator (a polynomial in \( z \)) and using the residue theorem. In general this is a tedious task. However, in the case in which we restrict all the cycles of the star to be 2-cycles, i.e. cycles with only one vertex other than \( i \), a remarkable simplification occurs. In this case, each has a cycle-factor as given in Eq. (2.4) and the denominator polynomial then becomes:

\[
\rho_{ii}(z - 1) - \sum_{j} \frac{\rho_{ij}\rho_{ji}}{(z\rho_{ii} - \rho_{jj})} = 0. \tag{2.7}
\]

The roots of this polynomial are bounded by the poles in the denominator (see Fig. 2), and so all can be easily obtained via a Newton–Raphson method. With all the roots, we may factorize the polynomial and evaluate the weight, \( w_1 \), of the star graph, and from this an energy estimator for the system.

An equivalent, yet simpler, derivation of this result can be seen by recasting Eq. (2.6) in terms of matrices. It can be shown\(^3\) that this equation can be rewritten as

\[
w_1 = \frac{1}{2\pi i} \oint C dz \ z^P \frac{|zI - \rho[i\ldots i]|}{|zI - \rho[i\ldots i]|} = \frac{1}{2\pi i} \oint C dz \ z^P \ |zI - \rho[i\ldots i]|_{ii}^{-1}, \tag{2.8}
\]

where the matrix \( \rho[i\ldots i] \) has its rows and columns labelled by the determinants in the star, starting at the root, and consists of all matrix elements between the root and spokes of the star (the first row and column), as well as the diagonal elements,

\[
\rho[i\ldots i] = \begin{pmatrix}
\rho_{ii} & \rho_{ij} & \rho_{ik} & \cdots & \rho_{ii} \\
\rho_{ji} & \rho_{jj} & 0 & \cdots & 0 \\
\rho_{ki} & 0 & \rho_{kk} & \cdots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
\rho_{ni} & 0 & 0 & \cdots & \rho_{nn}
\end{pmatrix}, \tag{2.9}
\]

and \( \rho[j\ldots j] \) is the same matrix with the first row and column removed.

It immediately follows that the weight can be cast in terms of the eigen-values and -vectors, \( (r_\alpha, \nu_\alpha) \), of the \( \rho[i\ldots i] \) (which we will now denote simply by \( \rho \)),

\[
w_1 = \sum_{\alpha} |v_{\alpha i}|^2 v_{\alpha}^P. \tag{2.10}
\]

The eigenvalue equation \( \rho \nu_\alpha = r_\alpha \nu_\alpha \), when expanded gives equations

\[
\rho_{ii}v_{\alpha i} + \rho_{ij}v_{\alpha j} + \cdots + \rho_{ii}v_{\alpha i} = r_\alpha v_{\alpha i} \tag{2.11}
\]

\[
\rho_{ij}v_{\alpha i} + \rho_{jj}v_{\alpha j} = r_\alpha v_{\alpha j} \tag{2.12}
\]

\[
\rho_{ki}v_{\alpha i} + \rho_{kk}v_{\alpha k} = r_\alpha v_{\alpha k} \tag{2.13}
\]

Solving all but the first equation, for \( v_{\alpha k} \) etc.,

\[
v_{\alpha k} = \frac{\rho_{ki}}{r_\alpha - \rho_{kk}} v_{\alpha i}, \tag{2.14}
\]

and substituting into Eq. (2.12), we recover Eq. (2.7),

\[
(r_\alpha - \rho_{ii}) - \frac{\rho_{ij}v_{\alpha i}}{r_\alpha - \rho_{ij}} - \cdots - \frac{\rho_{ii}v_{\alpha i}}{r_\alpha - \rho_{ii}} = 0 \tag{2.15}
\]

Care must be taken when dealing with degeneracies, i.e. when \( \rho_{kk} = \rho_{ii} \). If there are \( n \) degenerate \( \rho_{kk} \), their numerators, \( \rho_{ik}/\rho_{ki} \), may be added together to produce a single term in the polynomial, which will result in a single root, whose eigenvector can be calculated as above. The remaining \( (n - 1) \) roots have eigenvalue \( r_\alpha = \rho_{kk} \), and \( v_{\alpha i} = 0 \), with components only within the degenerate set, so can be ignored.

In practice, a Newton-Raphson algorithm quickly locates the highest root of the polynomial as, above the highest pole, the gradient is strictly negative. Such an algorithm can fail when locating the lower roots, which are bounded between successive poles as the gradient may approach zero, so a Regula Falsi algorithm is used, followed by some iterations of Newton-Raphson to refine the root. The tolerance for the root-finding was set to \( 10^{-15} \). For the lower roots, we note that when the coupling to an excited determinant, \( \rho_{ij} \), is very small (e.g. < \( 10^{-10} \)), there is a root very close to its \( \rho_{ij} \), which causes numerical instability in the evaluation of the eigenvector, as the respective denominator in Eq. (2.16) becomes very small, and known only to low accuracy. Because of the small coupling, however, the contributions of such excitations to \( w_1 \) and \( \tilde{E}_i \) are exceedingly small, and may be safely neglected by rejecting all determinants where \( |\rho_{ij}| < \rho_{\text{min}} \).

With the eigenvectors and eigenvalues we may also now calculate the energy quantity \( \tilde{E}_i \), as

\[
w_1 \tilde{E}_i = \langle D_i | \hat{H} e^{-\beta \hat{H}} | D_i \rangle \tag{2.16}
\]

\[
= \sum_m \langle D_i | \hat{H} | D_m \rangle \langle D_m | e^{-\beta \hat{H}} | D_i \rangle \tag{2.17}
\]

\[
= \sum_{m} \sum_{\alpha} H_{im} v_{\alpha m} r_{\alpha}^P v_{\alpha i}^*. \tag{2.18}
\]

If we fix \( \beta/P \) as a constant, as the \( \rho \) matrix elements are dependent on the ratio \( \beta/P \), not on \( \beta \) or \( P \) alone,
have found the behaviour of the resultant energy to be particularly sensitive to the approximations used to calculate the diagonal $\rho$-matrix elements. Here we present two schemes, both based on the following partitioning of the many-electron Hamiltonian, $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$, where $\hat{H}^{(0)} = \sum_i \tilde{f}(\tilde{r}_i)$, the many-electron Fock operator, and $\hat{H}^{(1)} = \hat{H} - \hat{H}^{(0)}$. We may calculate element $\rho_{ij} = \langle D_i | e^{-\beta \hat{H}} | D_j \rangle$ by using a Trotter approximation,

$$e^{-\beta \hat{H}} = e^{-\beta \hat{H}^{(0)}} e^{-\beta \hat{H}^{(1)}} = e^{-\frac{\beta}{P} \hat{H}^{(0)}} e^{-\frac{\beta}{P} \hat{H}^{(1)}} e^{-\frac{\beta}{P} \hat{H}^{(0)}} + O\left(\frac{\beta^3}{P}\right).\quad(2.21)$$

The inner exponential may then be expanded with a Taylor approximation,

$$e^{-\beta \hat{H}^{(1)}} = 1 - \frac{\beta}{P} \hat{H}^{(1)} + O\left(\frac{\beta^2}{P}\right).\quad(2.22)$$

We have truncated this approximation at both zeroth, and first order. The zeroth order truncation reduces to sum of the HF energies of the occupied orbitals in a determinant in the exponent,

$$H_{kk}^{(0)} = \sum_{i \in k} \varepsilon_i, \quad (2.23)$$

$$\rho_{kk}^{(0)} = \exp\left(-\frac{\beta}{P} H_{kk}^{(0)}\right). \quad (2.24)$$

The first-order truncation requires a sum over Coulomb integrals between occupied spin-orbitals,

$$H_{kk} = \sum_{i \in k} h_{ii} + \sum_{i,j \in k \backslash i} \langle ij | ij \rangle - \langle ij \rangle \langle ji \rangle \quad (2.25)$$

$$\rho_{kk}^{(1)} = \exp\left(-\frac{\beta}{P} H_{kk}^{(0)}\right) \left[1 - \frac{\beta}{P} (H_{kk} - H_{kk}^{(0)})\right].\quad (2.26)$$

and is therefore more time-consuming to calculate. The off-diagonal elements begin with first-order terms, so we use this level of truncation throughout:

$$\rho_{kl}^{(1)} = -\frac{\beta}{P} \exp\left(-\frac{\beta}{2P} (H_{kk}^{(0)} + H_{ii}^{(0)})\right) H_{kl}.\quad (2.27)$$

### III. APPLICATIONS

We have applied both approximations to two test systems: the $N_2$ molecule with a cc-pV5Z basis$^7$; and the Ne$_2$ dimer in an aug-cc-pV5Z basis$^8$. The nature of the bonding is very different between these two systems, and a description of the dissociation of both of these systems a formidable challenge for a quantum chemical method. DALTON$^9$ was used to perform RHF calculations, and the required one- and two-electron integrals were pre-calculated. Dissociation curves are presented in Figs.
FIG. 4: A comparison of the diagonal Taylor approximations for (a) \( \text{N}_2 \) in a cc-pV5Z basis; (b) \( \text{Ne}_2 \) in an aug-cc-pV5Z basis. Results are without counterpoise corrections. The \( \text{Ne}_2 \) curves have been shifted so their minimum is zero. Inset into (b) is a plot of energy against \( 1/r \) showing the \( 1/r \) dependence for the first-order partitioning. Calculations were performed at \( \beta = 40 \). For \( \text{N}_2 \) we have also included the CASSCF(6,6) energy, calculated with Gaussian.

4(a) and 4(b). It is clear from these figures that the zeroth-order Taylor expansion gives a far better description of the dissociation in both cases without the unphysical \( 1/r \) tail seen in the first-order approximation. This effect is nonetheless comparatively small, and is swamped by the effects of the bond dissociation in the \( \text{N}_2 \) plot. We shall return to this in the discussion at the conclusion of this paper. The remaining results have been performed exclusively with the zeroth order partitioning.

Turning to the \( \text{N}_2 \) molecule, dissociation energies, \( D \), and equilibrium bond distances, \( r \), are listed in Table I. At stretched bond-lengths, despite the more-physical seeming behaviour of the doubles-star than MP2 and Coupled Cluster theories, there is a pronounced difference between the tail of doubles-star curve and the CASSCF curve. To address this, we have performed calculations using a Dunning cc-pVDZ basis set, and compare against known Full Configuration Interaction (FCI) results in Fig. 5. This is the largest basis set for which FCI has proved feasible. We note that the tail of the FCI mirrors the CASSCF and decays more quickly than the doubles-star with increasing bond-length. The more accurate FCI and CASSCF description of this tail is known to be due to the inclusion of up-to-hexaduple excitations in the calculation. Nevertheless the doubles-star performs considerably better than CISD, which contains contributions from only single and double excitations. To investigate the intermediate range of bonding strengths, calculations have been performed on the binding curve of the water dimer in an aug-cc-pVDZ basis. The geometry as Mas et al. was used, with varying oxygen-oxygen bond length and results are shown in Fig. 6. The hydrogen bonding in this system seems to be very well described by all the correlation methods used, with the doubles star curve lying between the CCCSD and CCSD(T).

The dissociation curve of the weakly dispersion-bound argon dimer in an aug-cc-pVQZ basis is shown in Fig. 7. \( C_6 \) and \( C_8 \) coefficients from \( \text{Ne}_2 \) and \( \text{Ar}_2 \) binding curves are shown in Table II. Although the doubles-star does not

![Energy plot for N2](image)

![Energy plot for Ne2](image)

![Energy plot for Ar](image)

<table>
<thead>
<tr>
<th>Method</th>
<th>( D_e / \text{eV} )</th>
<th>( r_e / \text{a})</th>
<th>( a )</th>
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<tr>
<td>CASSCF(6,6)</td>
<td>8.906</td>
<td>2.081</td>
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<tr>
<td>MP2</td>
<td>10.396</td>
<td>2.091</td>
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<tr>
<td>CCSD</td>
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<td>2.059</td>
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<tr>
<td>Doubles Star</td>
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<tr>
<td>CCSD(T)</td>
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<td>2.073</td>
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<tr>
<td>Experiment</td>
<td>9.911</td>
<td>2.074</td>
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FIG. 6: The counterpoise corrected binding curve of the water dimer in an aug-cc-pVDZ basis, showing the doubles star lying between the CCSD and CCSD(T) curves. All curves have been shifted to place the minimum at zero energy. Calculations were performed at $\beta = 45$.

<table>
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<tr>
<th>Method</th>
<th>$C_6$ / Hart</th>
<th>$C_8$ / $10^3$ Hart</th>
<th>$\alpha_0$</th>
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<tr>
<td>Ne$_2$</td>
<td>MP2</td>
<td>5.56(7)</td>
<td>0.045(5)</td>
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<tr>
<td></td>
<td>CCSD</td>
<td>5.62(6)</td>
<td>0.064(4)</td>
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<td></td>
<td>Doubles Star</td>
<td>4.48(6)</td>
<td>0.035(4)</td>
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<tr>
<td></td>
<td>CCSD(T)</td>
<td>6.42(6)</td>
<td>0.077(4)</td>
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<tr>
<td>Ar$_2$</td>
<td>MP2</td>
<td>123(10)</td>
<td>-2.2(6)</td>
</tr>
<tr>
<td></td>
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<td>102(10)</td>
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</tr>
<tr>
<td></td>
<td>Doubles Star</td>
<td>99(10)</td>
<td>-2.5(6)</td>
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<tr>
<td></td>
<td>CCSD(T)</td>
<td>112(10)</td>
<td>-2.1(6)</td>
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</table>

TABLE II: $C_6$ and $C_8$ coefficients from a fit of the binding curve tail to $E = -C_6r^{-6} - C_8r^{-8} + B$ beginning from $r = 8 \alpha_0$. Neon atoms used an aug-cc-pV5Z basis, and argon atoms an aug-cc-pVQZ basis. All energies were counterpoise corrected. Doubles star calculations for Ne had $\beta = 40$, and for Ar had $\beta = 45$.

not capture the full binding energy of the Ar$_2$ dimer, the $C_6$ coefficient shows a reasonably close match, suggesting that the method might well be appropriate at capturing non-local, distant dispersion interactions.

FIG. 7: The counterpoise corrected binding curve of Ar$_2$ in an aug-cc-pVQZ basis. All curves have been shifted to place the minimum at zero energy. Inset is a plot of the tail of the curve against $1/r^6$, showing a close match between the CCSD and doubles star $C_6$ coefficients. Calculations were performed at $\beta = 45$. Also shown are the experimental dissociation energy$^{12}$ and the $C_6$ coefficient used in Ref. 13.

IV. CONCLUSIONS

Returning to the discussion of the first-order diagonal elements, an investigation on a small basis helium dimer shows that the $r^{-1}$ behaviour is caused by coupling to excited determinants which have a charge-transfer character, and thus a $r^{-1}$ dependence in the value of $H_{ii}$. Calculations on the full graph for this system, which also includes all connections between double excitations do not show this dependence. We may therefore infer that the exclusion of the coupling between double excitations is, by itself, an inconsistent truncation, which may be remedied by using a zeroth-order diagonal matrix elements. These factors will require careful investigation when extending the star to include further excitations and couplings, which will form the basis of a future work.
The results of our new method do appear encouraging. Further development and investigation is warranted. In particular, we would like to understand the reason why using the zeroth-order Taylor expansion for $\rho_{ii}^{(0)}$ works well, but a higher-order expansion for those matrix elements fails, and the connection of this observation with the structure of the 2-vertex star-graph. Furthermore, it will be interesting to see if inclusion of triple excitations into the star-graph leads to similar gains in accuracy that is generally observed with the coupled cluster method in going from CCSD to CCSD(T). Overall, we believe that the double-excitation star method produces binding curves of around a CCSD quality, and has the advantage of requiring the same integrals as and scaling at the same level as MP2 theory. Moreover, unlike MP2 theory, it does not suffer from problems with degeneracies or near-degeneracies, and can therefore be applied to processes such as multiple-bond breaking which lead to divergences in other theories. The doubles-star seems to describe reasonably well the dissociation of the $\text{N}_2$ molecule, which being a triply-bonded system has proven very challenging for single-reference methods. It does not, however, achieve the same quickly decaying tail as FCI, but it is hoped that the inclusion of higher excitations will remedy this.

Furthermore, the current wealth of knowledge of localization methods to reduce the scaling of the integral transformation and MP2 calculation can equally well be applied to the doubles star which raises the tantalizing possibility of linear scaling.

V. ACKNOWLEDGEMENTS

The support of the EPRSC through a Portfolio Award is gratefully acknowledged.

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9 DALTON, Release 2.0, a molecular electronic structure program, 2005.